

#7

PATENTAttorney Docket No.: 020699-000310US
Client Ref. No.: 50P3896**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

G. Scott Smith, et al.

Application No.: 09/898,319

Filed: July 2, 2001

For: STORAGE AND RETRIEVAL OF
ENCRYPTED CONTENT ON
STORAGE MEDIA

Examiner: Unassigned

Art Unit: 2131

JOINDER OF NON-SIGNING INVENTORBox DAC
Assistant Commissioner for Patents
Washington, D.C. 20231RECEIVED
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Sir:

Applicant respectfully requests that the attached Declaration executed by inventor Jose Diaz be made of record in the above-referenced application. A copy of the executed Assignment is also attached. The original executed Assignment is being filed today, July 3, 2002, with the Assignment Branch of the USPTO.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned.

Respectfully submitted,


 Fidel D. Nwamu
 Reg. No. 46,294

TOWNSEND and TOWNSEND and CREW LLP
 Two Embarcadero Center, 8th Floor
 San Francisco, California 94111-3834
 Tel: 415-576-0200
 Fax: (415) 576-0300
 FDN:gsh
 SF 1362123 v1

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/898,319
		Filing Date	July 2, 2001
		First Named Inventor	Smith, G. Scott
		Group Art Unit	2131
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	020699-000310US

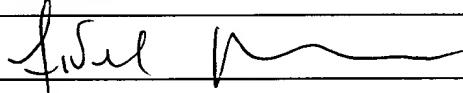
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Joinder of Non-Signing Inventor; Declaration; copy of Assignment and Recordation Cover Sheet; Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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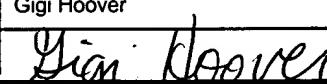
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Fidel D. Nwamu	Reg. No. 46,294
Signature		
Date	July 3, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

July 3, 2002

Typed or printed name	Gigi Hoover		
Signature		Date	July 3, 2002

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SF 1362137 v1

#8

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention

STORAGE AND RETRIEVAL OF ENCRYPTED CONTENT ON
STORAGE MEDIA

As the below named inventor(s), I/we declare that:

This declaration is directed to:

The attached application, or
 Application No. 09/898,319 filed on July 2, 2001
 as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

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FULL NAME OF INVENTOR(S)

Inventor 1 G. Scott Smith Date: _____

Signature: _____ Citizen of: United States

Inventor 2 Jose Diaz Date: 6/27/02

Signature: *Jose Diaz* Citizen of: United States

Inventor 3 _____ Date: _____

Signature: _____ Citizen of: _____

Inventor 4 _____ Date: _____

Signature: _____ Citizen of: _____

Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **STORAGE AND RETRIEVAL OF ENCRYPTED CONTENT ON A STORAGE MEDIA** the specification of which X is attached hereto or _____ was filed on _____ as Application No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/224,619	August 11, 2000

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Last Name: SMITH	First Name: G.	Middle Name or Initial: SCOTT
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: U.S.
Post Office Address:	Post Office Address: 5648 Enning Avenue	City: San Jose	State/Country: California Postal Code: 95123
Full Name of Inventor 2:	Last Name: DIAZ	First Name: JOSE	Middle Name or Initial:
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: U.S.
Post Office Address:	Post Office Address: 5328 Beachgrove Court	City: San Jose	State/Country: California Postal Code: 95123

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2
<u>G. Scott Smith</u> G. Scott Smith	_____
Date 21 May 2001	Date

SF 1220101 v1

Form PTO-1595
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)

Recordation Form Cover Sheet **PATENTS ONLY**

U.S. Department of Commerce
U.S. Patent and Trademark Office

Tab settings >>>▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

G. Scott Smith

Additional name(s) of conveying parties attached? Yes No.

3. Nature of conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other: _____	

Execution Date: June 27, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/898,319

B. Patent No(s): _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fidel D. Nwamu
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): ----- \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Fidel D. Nwamu
Name of Person Signing
Atty. Reg. No. 46,294

Signature

July 3, 2002
Date

Total number of pages including cover sheet, attachments and document 4

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

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1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Jose Diaz

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

Sony Electronics, Inc.
16450 West Bernardo Drive, MZ 7190
San Diego, CA 92127

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, G. Scott Smith of 5648 Enning Avenue, San Jose, CA 95123; Jose Diaz of 5328 Beachgrove Court, San Jose, CA 95123; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Storage and Retrieval of Encrypted Content on Storage Media

Date(s) of execution of Declaration: June 27, 2002

Filing Date: July 2, 2001

Application No.: 09/898,319; and

WHEREAS, Sony Corporation, a corporation of Japan, located at 7-35 Kitashinagawa 6-Chome-Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc., a corporation of the United States, located at 16450 West Bernardo Drive, MZ 7190, , San Diego, CA, 92127, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

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Assignment
Attorney Docket No.: 020699-000310US
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____ G. Scott Smith

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

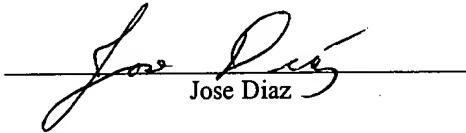
On _____, before me, _____,
personally appeared G. Scott Smith, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: 6/27/02



Jose Diaz

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On _____, before me, _____,
personally appeared Jose Diaz, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

SF 1359248 v1

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